



## UNITED STATES PATENT AND TRADEMARK OFFICE

**FILE COPY**
 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

**CONFIRMATION NO. 5975**

<b>SERIAL NUMBER</b> 09/894,519	<b>FILING DATE</b> 06/28/2001 <b>RULE</b>	<b>CLASS</b> 711	<b>GROUP ART UNIT</b> 2185	<b>ATTORNEY DOCKET NO.</b> MSFT- 0259/158415.2
------------------------------------	---	---------------------	-------------------------------	--

**APPLICANTS**

Attila Narin, Bothell, WA;  
 Marco A. DeMello, Redmond, WA;  
 Yoram Yaacovi, Redmond, WA;  
 Jeffrey H. Alger, Redmond, WA;  
 Leroy B. Keely, Portola Valley, CA;  
 David Michael Silver, Sammamish, WA;  
 Christopher Robert Richard Madonna, Seattle, WA;  
 John Beezer, Seattle, WA;  
 Kathryn E. Hughes, Redmond, WA;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLN CLAIMS BENEFIT OF 60/215,488 06/30/2000  
 AND A CIP OF 09/836,524 04/17/2001

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE GRANTED****\*\* 08/22/2001**

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> WA	<b>SHEETS DRAWING</b> 9	<b>TOTAL CLAIMS</b> 35	<b>INDEPENDENT CLAIMS</b> 5
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

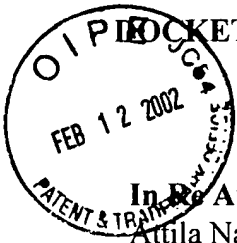
**ADDRESS**

27372

**TITLE**

Architecture for an electronic shopping service integratable with a software application

<b>FILING FEE RECEIVED</b> 1270	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit



BUCKET NO.: MSFT-0259/158415.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:  
Attila Narin, et al.

Serial No.: 09/894,519

Group No.: 2185

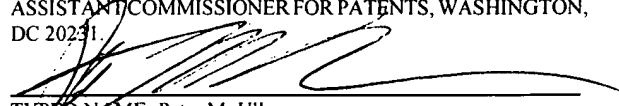
Filing Date: June 28, 2001

Examiner: note assigned

For: Architecture for an Electronic Shopping Service Integratable with a Software  
Application

**RECEIVED**  
MAR 05 2002  
Technology Center 2100

DATE OF DEPOSIT: 1-21-02  
I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE AS FIRST  
CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED  
ABOVE AND IS ADDRESSED TO BOX MISSING PARTS,  
ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON,  
DC 20231.

  
TYPED NAME: Peter M. Ullman  
REGISTRATION NO.: 43,963

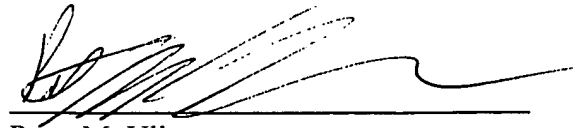
Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington DC 20231

**APPLICANTS' RESPONSE TO PTO RESPONSE TO REQUEST  
FOR CORRECTED FILING RECEIPT**

In response to your office's "Response to Request for Corrected Filing Receipt" dated  
January 4, 2002, enclosed herewith for filing is:

- ☒ A copy of the PTO'S "Response to Request for Corrected Filing Receipt;"
- ☒ Applicants' Request for Corrected Filing Receipt with copy of Official Filing  
Receipt marked-up for change; and
- ☒ Preliminary Amendment.

Date: 1-21-02

  
Peter M. Ullman  
Registration No. 43,963

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439



ORIGINAL FILED

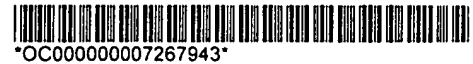
5/14 2001

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 2023  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/894,519	06/28/2001	Attila Narin	MSFT-0259/158415.2

CONFIRMATION NO. 5975

27372  
WOODCOCK WASHBURN KURTZ  
MACKIEWICZ & NORRIS LLP  
ATTENTION: STEVEN J. ROCCI, ESQ.  
ONE LIBERTY PLACE, 46TH FLOOR  
PHILADELPHIA, PA 19103

\*OC00000007267943\*

RECEIVED

JAN 10 2002

Date Mailed: 01/04/2002

COPY RECEIVED  
MAR 05 2002  
Technology Center 2100

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

RECEIVED

JAN 10 2002  
DOCKET DEPT.  
WWKMN

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

---

*A copy of this notice MUST be returned with the reply.*

*R.B.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

DOCKET NO.: MSFT-0259/158415.2

COPY OF PAPERS  
ORIGINALLY FILED

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**

Attila Narin, et al.

**Serial No.:** 09/894,519

**Group Art Unit:** 2185

**Filing Date:** June 28, 2001

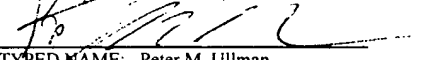
**Examiner:** not assigned

**For:** Architecture for an Electronic Shopping Service Integratable with a Software Application

**RECEIVED**  
MAR 05 2002  
Technology Center 2100

DATE OF DEPOSIT: 1-21-02

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO OFFICE OF INITIAL PATENT EXAMINATION, CUSTOMER SERVICE CENTER, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

  
TYPED NAME: Peter M. Ullman  
REGISTRATION NO.: 43,963

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
Washington DC 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.
2. There is an error with respect to the following data which is:


☐ incorrectly entered; and/or

☒ omitted.

<i>Error in</i>	<i>Correct data</i>
1. Applicant's name	1.
2. Applicant's address	2.
3. Title	3.
4. Filing Date	4.
5. Serial Number	5.
6. Foreign/PCT Application Re:	6.
7. Other: Domestic Priority Data	7. This application claims benefit of U.S. Provisional Application 60/215,488 filed 06/30/2000 and is a continuation-in-part of 09/836,524 filed 04/17/01

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: 1-21-02



Peter M. Ullman  
Registration No. 43,963

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## In Re Application of:

Attila Narin, et al.

Serial No.: 09/894,519

Group Art Unit: 2185


Filing Date: June 28, 2001

Examiner: not assigned

For: Architecture for an Electronic Shopping Service Integratable with a Software Application

DATE OF DEPOSIT: 1-21-02

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO OFFICE OF INITIAL PATENT EXAMINATION, CUSTOMER SERVICE CENTER, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

  
TYPED NAME: Peter M. Ullman  
REGISTRATION NO.: 43,963

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
Washington DC 20231

## REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.
2. There is an error with respect to the following data which is:

☐ incorrectly entered; and/or

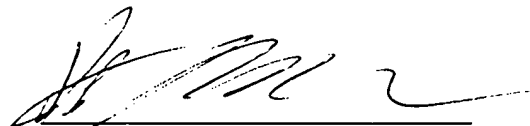
☒ omitted.

**RECEIVED**  
MAR 05 2002  
Technology Center 2100

<i>Error in</i>	<i>Correct data</i>
1. Applicant's name	1.
2. Applicant's address	2.
3. Title	3.
4. Filing Date	4.
5. Serial Number	5.
6. Foreign/PCT Application Re:	6.
7. Other: Domestic Priority Data	7. This application claims benefit of U.S. Provisional Application 60/215,488 filed 06/30/2000 and is a continuation-in-part of 09/836,524 filed 04/17/01

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: 1-21-02



Peter M. Ullman  
Registration No. 43,963

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

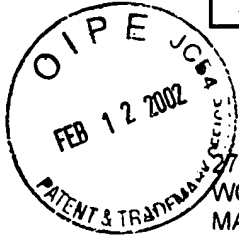




## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20230  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/894,519	06/28/2001	2185	1270	MSFT- 0259/158415.2	9	35	5



7/372

WOODCOCK WASHBURN KURTZ  
MACKIEWICZ & NORRIS LLP  
ATTENTION: STEVEN J. ROCCI, ESQ.  
ONE LIBERTY PLACE, 46TH FLOOR  
PHILADELPHIA, PA 19103COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 5975

UPDATED FILING RECEIPT



\*OC000000007267925\*

JAN 0 2002

Date Mailed: 01/04/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Attila Narin, Bothell, WA;  
Marco A. DeMello, Redmond, WA;  
Yoram Yaacovi, Redmond, WA;  
Jeffrey H. Alger, Redmond, WA;  
Leroy B. Keely, Portola Valley, CA;  
David Michael Silver, Sammamish, WA;  
Christopher Robert Richard Madonna, Seattle, WA;  
John Beezer, Seattle, WA;  
Kathryn E. Hughes, Redmond, WA;RECEIVED  
JAN 09 2002  
DOCKET DEPT.  
WWKMN

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/215,488 06/30/2000 and is a continuation-in-  
part of U.S. Application 09/836,524 filed 04/17/2001

## Foreign Applications

If Required, Foreign Filing License Granted 08/22/2001

Projected Publication Date: 04/18/2002

Non-Publication Request: No

Early Publication Request: No

COPY

RECEIVED  
MAR 05 2002  
Technology Center 2100

**Title**

Architecture for an electronic shopping service integratable with a software application

**Preliminary Class**

711

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).